

Audit Committee

Tuesday 5 January 2016 at 7.00 pm

Board Room 1&2 - Brent Civic Centre, Engineers Way,
Wembley HA9 0FJ

Membership:

Members

Mr Ewart (Chair)

Councillors:

A Choudry (Vice-Chair)

Davidson

Khan

Naheerathan

Nerva

Substitute Members

Councillors:

Hylton, Mahmood, McLeish and Thomas

Councillors:

For further information contact: Joe Kwateng, Democratic Services Officer
(020) 8937 1354; joe.kwateng@brent.gov.uk

For electronic copies of minutes, reports and agendas, and to be alerted when the minutes of this meeting have been published visit: **democracy.brent.gov.uk**

The press and public are welcome to attend this meeting

Agenda

Introductions, if appropriate.

Apologies for absence and clarification of alternate members

Item	Page
1 Declarations of personal and prejudicial interests	
Members are invited to declare, at this stage of the meeting, any relevant financial or other interest in the items on this agenda.	
2 Deputations	
3 Minutes of the previous meeting	1 - 4
4 Matters arising	
5 Annual Audit Letter 2014-15 - LB Brent	5 - 10
This report summarises the key findings from KPMG's 2014/15 audit of the London Borough of Brent (the Authority).	
6 LB Brent Progress report - January 2016	11 - 12
This report provides a summary of work performed by KPMG since the September 2015 Audit Committee.	
7 Information Commissioner's Office Audit	13 - 50
This report outlines the position with the Information Commissioner's Office (ICO) data protection audit and the Council's action plan to address the findings from the audit. The report also lists the outcome of similar audits conducted at other Local Authorities recently.	
I have attached an executive summary of the report.	

Ward affected: All Wards
Contact Officer: Peter Gadsdon, Operational Director, Strategic Commissioning
 Tel: 020 8937 1400 peter.gadsdon@brent.gov.uk

8 Internal Audit & Counter Fraud Progress Report for the period 1 August 2015 - 31 October 2015 51 - 82

This report provides an update on the progress against the internal audit plan for the period 1 August 2015 to 31 October 2015. The appendix to the report also summarises those assurance reports from the 2014/15 plan which have been finalised since the last meeting of the Audit Committee. The report also provides a summary of counter fraud work for the first two quarters of 2015/16 including October 2015

Ward affected: All Wards
Contact Officer: Conrad Hall, Chief Finance Officer
 Tel: 020 8937 6528 conrad.hall@brent.gov.uk

9 Treasury Management Strategy 83 - 100

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10 Internal Audit Planning 2016/17 – Outline Approach 101 - 104

As per the requirements of the Public Sector Internal Audit Standards, an annual operational internal audit plan should be prepared for presentation to the Audit Committee for endorsement and approval. Whilst the draft Internal Audit Plan will be presented to the March 2016 Committee, due to the recent creation of the Audit and Investigation Shared Service, this report provides the Audit Committee with details of the proposed approach for the development of the 2016/17, including details of potential changes in Internal Audit focus, from previous audit plans developed.

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11 Strategic Internal Audit Partner Procurement 105 - 106

Further to the previous report to the Audit Committee on 16 September 2015, this report provides an update on the status of the procurement exercise currently being undertaken by the LB Ealing to identify and

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Further to the request of the Committee held on 18 November 2015, this report provides details of the service specification agreed and included within the Formal Collaboration agreement which governs and monitors the performance requirements placed on the Shared Service.

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The audit committee has previously received reports in private from KPMG regarding the operation of financial controls and how these could be strengthened. Appendix One to this report shows the progress made in implementing their recommendations

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This report presents the council's current Corporate Risk Register. A total of five (5) appendices attached to the report are in respect of the following departments:

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15 Any other urgent business

Notice of items to be raised under this heading must be given in writing to the Head of Executive and Member Services or his representative before the meeting in accordance with Standing Order 64.

16 Date of next meeting

The next scheduled meeting of the Audit Committee is scheduled to be held on 23 March 2016 at 7.00pm.



Please remember to set your mobile phone to silent during the meeting.

- The meeting room is accessible by lift and seats will be provided for members of the public.